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COPY OF PAPERS  
ORIGINALLY FILED

Docket No.  
JSF 35.0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing by Regular Mail

Name of Applicant: PrivaSys, Inc.

Inventors: Larry Routhenstein

Invention: METHOD FOR GENERATING CUSTOMER SECURE CARD  
NUMBERS

Filing Date: September 21, 2001

I hereby certify that this Certificate of Mailing by Regular Mail, along with the specifically identified as enclosed documents below, are being deposited with the United States Postal Service "Regular Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001, "Regular Mail", on April 24, 2002. The enclosed documents are: (1) Notice To File Missing Parts Of Application; (2) Response To Notice To File Missing Parts Of Application; (3) Declaration For Patent Application; (4) Assignment of Rights, Title and Interest in Invention; (5) Recordation Cover Sheet; (6) Verified Statement Claiming Small Entity; (7) Check No. 0619 in the amount of 1,173.00 and Check No. 1176 in the amount of \$40.00; (8) Return Post Card

Christina Villa

(Typed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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JSF 35.016

**RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION FILING DATE  
GRANTED (PTO-1533) (SMALL ENTITY)**



In Re Application Of: Larry Routhenstein

Serial No.	Filing Date	Examiner	Group Art Unit
09/960,715	September 21, 2001		

Invention: **METHOD FOR GENERATING CUSTOMER SECURE CARD NUMBERS.**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**  
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533) mailed on 10/30/01.

Enclosed herewith for filing are the following:

- X A copy of the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533. **(Required)**)
- X An oath or declaration in compliance with 37 CFR 1.63 including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- X ONE verified small entity declaration(s)
  - X is/are attached
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund
- x Other (list): Substitute pages 24 through 30.

JSF 35.016

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Attention: Manager, Application Branch

X Completion of application fees as calculated below:

X	Utility application filing fee	<u>\$370.00</u>
<input type="checkbox"/>	Design application filing fee	<u>                    </u>
<input type="checkbox"/>	Total number of independent claims= <u>1</u>	<u>\$0.00</u>
<input type="checkbox"/>	Total number of claims= <u>22</u>	<u>\$18.00</u>
<input type="checkbox"/>	Multiple dependent claims	<u>                    </u>
X	Surcharge for late payment of filing fee and /or late filing of original declaration or oath	<u>\$65.00</u>
<input type="checkbox"/>	Petition and fee for filing by other than all the inventors or person not the inventor	<u>                    </u>
<input type="checkbox"/>	Fee for processing an application filed with a non-English language specification	<u>                    </u>
<input type="checkbox"/>	Fee for processing and retention of application	<u>                    </u>
<b>Total completion of application fees</b>		<b><u>\$453.00</u></b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One Month      ☐ Two Months      ☐ Three Months      ☒ Four Months      ☐ Five Months

from: 10/30/01

until: 04/30/02

**Total time extension fees**      **\$720.00**

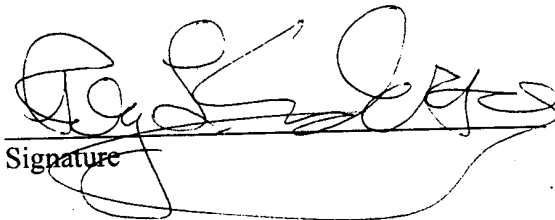
**Total fees due**      **\$1,173.00**

JSF 35.016

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Attention: Manager, Application Branch

The fee of \$1173.00 is to be paid as follows:

- X A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☐ If any additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated : April 24, 2002

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I certify that this document and fee is being deposited on April 24, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

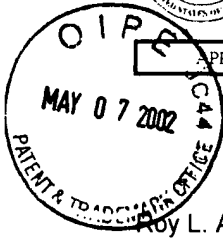
Christina Villa  
Typed or Printed Name of Person Mailing Correspondence

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,715	09/21/2001	Larry Routhenstein	JSF35.016

CONFIRMATION NO. 4275

## FORMALITIES LETTER



\*OC000000006993744\*

Roy L. Anderson, ESQ.,  
Jeffers, Shaff & Falk, LLP  
Suite 1400  
18881 Von Karman Ave.  
Irvine, CA 92612

Date Mailed: 10/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 438.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

18 10/30/2001 10:00:00 AM

01 SEP 2001  
02 SEP 2001  
11 SEP 200110/30/2001  
10/30/2001  
10/30/2001

*A copy of this notice **MUST** be returned with the reply.*

*PM*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY